



U.S. Department
of Transportation

Federal Highway Administration
Arizona Division
4000 N Central Ave, Ste 1500
Phoenix, AZ 85012-3500
(602) 379-3646 – Main
(602) 382-8998 – FAX

Federal Transit Administration
Region IX
90 7th St, Ste 15-300
San Francisco, CA 94103
(415) 734-9490 – Main
(415) 734-9489 – FAX

June 27, 2019

In Reply Refer To:
(TRAP 19 – MPO)
FY 2020-2021 Work Plan Approval
Statewide Planning and Research Program
MPO and COG Work Programs

Mr. Gregory Byres, Division Director
Multimodal Planning Division
Arizona Department of Transportation, (300B)
206 S. 17th Avenue
Phoenix, Arizona 85007

Dear Mr. Byres:

The Federal Highway Administration and Federal Transit Administration Region IX have jointly reviewed your June 7, 2019 letter requesting approval of the State Planning and Research Work Program, the Metropolitan Planning Organization Work Plans and the Council of Governments Work Programs. The period of this work program is July 1, 2019 through June 30, 2021 and is approved effective July 1, 2019. Please take special note of the third bullet. Any change to the Work Program involving a new work element regardless of cost, shall be submitted to FHWA, through ADOT if applicable, for approval.

This approval is made subject to the following summary of Federal regulations, which apply to federally funded planning work programs:

- That FHWA planning and research funds (as defined in 23 CFR 420.103) shall be administered in accordance with the provisions of 2 CFR Part 200 and 23 CFR Part 420.
- Work program activities must be eligible under 23 USC 134, 135, 505 or 49 U.S.C. 5303-5305 and 5313(b), and the provision of 23 CFR 420 and 23 CFR 450.
- Prior Approval is required for the following changes:
 - Budgetary Changes –
 1. Increase in federal funds
 2. Cumulative transfer among already approved work program line items greater of 10% of the total federal funds or \$100,000
 - Programmatic Changes –
 1. Change in the scope or objectives of activities (e.g., adding or deleting line items)
 2. Extending the period of performance past the approved work program period.

3. Transferring substantive programmatic work to a third party (e.g., consultant work not identified in the original work program)
-Capital expenditures including purchase of equipment if not included in UPWP.

- The following prior approval requirements are waived:
 1. Change in key person.
 2. Publish reports resulting from the activities in the work program. Reports prepared for FHWA funded work shall include appropriate credits, references and disclaimer statements
 3. The use of program income as a match for Federal funds or to perform additional eligible work
- If not included in the Federal-aid project agreement, the SPR and each UPWP work program shall include certifications that the State transportation agency and the recipients of PL and SPR funds will comply with the suspension and debarment provision of 49 CFR Part 29 and the lobbying restrictions set forth in 49 CFR Part 20.
- The Single Audit Act Amendment of 1996 requires that an independent audit be completed of any non-federal entity expending \$750,000 or more in Federal funds from all sources in a fiscal year. The audit shall be completed no later than 13 months following the end of the Grant Period.
- Annual progress and financial summaries shall be submitted 90 days (3 months) after the end of the report period. The report may be more frequent if deemed necessary by FHWA.
- Please note any expenditures incurred prior to July 1, 2019 or after June 30, 2021 will be deemed ineligible for Federal reimbursement under this Work Program approval grant period.

If you have questions or would like additional information, please contact Mr. Ed Stillings of FHWA Arizona Division at (602) 382-8966 or ed.stillings@dot.gov; or Mr. Darin Allan of FTA Region IX at (415) 734-9470 or darin.allan@dot.gov.

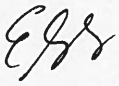
Sincerely,



FOR Karla S. Petty
Division Administrator
FHWA Arizona Division

cc: Gregory Byres (ADOT), Clemenc Ligoeki (ADOT), Eric Anderson (MAG), Farhad Moghimi (PAG), Paul Ward (YMPO), Chris Bridges (CYMPO), Jeff Meilbeck (FMPO), Irene Higgs (SCMPO), Karen Lamberton (SVMPO), Vincent Gallegos (LHMPO), Randy Heiss (SEAGO), Andrea Robles (CAG), Chris Fetzer (NACOG), Brian Babiars (WACOG), Angela Ringor (ADOT), Patrick Stone (ADOT), Dominique Kraft (FTA), Amy Corathers (FTA), Darin Allan (FTA)

Internal ec: EStillings, RTruely, AHansen, RMoreno, BJombo, ASarhan, VSouthern, FHWA
Arizona

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Multimodal Planning

An Arizona Management System Agency

Douglas A. Ducey, Governor
John S. Halikowski, Director
Dallas Hammit, State Engineer
Gregory Byres, Division Director

June 5, 2019

Ms. Karla Petty Division Director
Federal Highway Administration
400 North Central Ave., Suite 1500
Phoenix, AZ. 85012-1906

Subject: Approval of FY 2020 & FY2021 State Planning and Research Part A & B UPWP

Attention: Ed Stillings and Romare Truely

Dear Ms. Petty:

I am requesting approval of our Fiscal Year 2020 & 2021 State Planning and Research Unified Planning Work Program and Budget.

Please find attached the Unified Planning Work Programs (UPWP) and budgets for Arizona Department of Transportation (ADOT), Central Yavapai Metropolitan Planning Organizations (CYMPO), Flagstaff Metropolitan Planning Organizations (FMPO), Maricopa Associations of Governments (MAG), Pima Associations of Governments (PAG), Yuma Metropolitan Planning Organizations (YMPO), Sun Corridor Metropolitan Planning Organizations (SCMPO), Sierra Vista Metropolitan Planning Organizations (SVMPO) and Lake Havasu Metropolitan Planning Organizations (LHMPO).

Also attached for approval is the Unified Planning Work Programs (UPWP) and Budgets for Central Arizona of Governments (CAG), Northern Arizona Council of Governments (NACOG), Southeastern Arizona Government Organizations (SEAGO) and Western Arizona Council of Governments (WACOG).

If you have any questions or need additional information, please contact Angela Ringor at 602-712-8316. Thank you.

Sincerely,

DocuSigned by:

6/7/2019

Gregory Byres, PE

Assistant Director for Multimodal Planning Division